

## Appendix B

## Herefordshire Council 2014-15 Audit Plan

Audit Type	Audit Area	Quarter	Status	Opinion	No. of recs	Recommendations				
						5	4	3	2	1
Key Control	Payroll 2014-15	1	Discussion Document	Reasonable	0	0	0	0	0	0
Key Control	Accounts Payable 2014-15	1	Discussion Document	Reasonable	0	0	0	0	0	0
Governance, Fraud & Corruption	Expense Fraud	1	Discussion Document	Reasonable	0	0	0	0	0	0
Governance, Fraud & Corruption	Members Expenses	1	Completed	Reasonable	3	0	0	3	0	0
Operational	Housing - Home Point	1	In Progress		0	0	0	0	0	0
ICT	HC_User Access Management	1	In Progress		0	0	0	0	0	0
Grant Certification	Green Deal Pioneer Places Grant	1	Completed	Non-Opinion	0	0	0	0	0	0
Grant Certification	HC Adoption Reform Grant	1	Completed	Non-Opinion	0	0	0	0	0	0
Grant Certification	Care Bill grant	1	Completed	Non-Opinion	0	0	0	0	0	0
Governance, Fraud & Corruption	Fraud & Corruption Survey	1	Completed	Non-Opinion	0	0	0	0	0	0
Follow Up	Income Collection	2	In Progress		0	0	0	0	0	0
Follow Up	Legal Services	2	Draft	Non-Opinion	1	0	0	1	0	0
Follow Up	Data Protection	2	Completed	Non Opinion	1	0	0	1	0	0
Follow Up	Gypsy & Traveller Service	2	In Progress		0	0	0	0	0	0
Governance, Fraud & Corruption	Risk Management	2	In Progress		0	0	0	0	0	0
Governance, Fraud & Corruption	Fraud & Corruption Policy	2	Not Started		0	0	0	0	0	0
Governance, Fraud & Corruption	Whistleblowing Policy	2	Not Started		0	0	0	0	0	0
Operational	Procurement	2	In Progress		0	0	0	0	0	0
Operational	Financial Contributions & Fairer Charging	2	In Progress		0	0	0	0	0	0
Operational	Framework i-case management system	2	Initial Meeting 17 August 2014		0	0	0	0	0	0
Schools	Schools - Prevention of Fraud	2	Created		0	0	0	0	0	0
Operational	Looked After Children	2	Initial Meeting 9 September 2014		0	0	0	0	0	0
Special Investigation	Colwall Primary School- Damp Problems - Lesson Learned	2	In Progress							
Follow Up	Payroll	3	Not Started		0	0	0	0	0	0
Follow Up	Accounts Payable	3	Not Started		0	0	0	0	0	0
Key Control	Accounts Receivable	3	Not Started		0	0	0	0	0	0
Key Control	Main Accounting	3	Not Started		0	0	0	0	0	0
Key Control	Treasury Management	3	Not Started		0	0	0	0	0	0
Key Control	Capital Accounting	3	Not Started		0	0	0	0	0	0
Key Control	Council Tax	3	Not Started		0	0	0	0	0	0

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						5	4	3	2	1
Key Control	NDR	3	Not Started		0	0	0	0	0	0
Key Control	Housing & Council Tax Benefits	3	Not Started		0	0	0	0	0	0
Follow Up	Health & Safety	3	Deferred to quarter 4		0	0	0	0	0	0
Operational	Use of Agency Staff	3	Not Started		0	0	0	0	0	0
ICT	Software Asset Management	3	Not Started		0	0	0	0	0	0
ICT	Education, Health & Care Plans data	3	Not Started		0	0	0	0	0	0
Operational	Preparations for the Care Bill	3	Not Started		0	0	0	0	0	0
Operational	Homelessness	4	Not Started		0	0	0	0	0	0
Operational	Peer Challenge/Benefits Realisation	4	Not Started		0	0	0	0	0	0
Operational	Registrars & Coroners	4	Not Started		0	0	0	0	0	0
Operational	Home School Transport	4	Not Started		0	0	0	0	0	0
Operational	Elections	4	Not Started		0	0	0	0	0	0
School	Schools - Safer Recruitment	4	Not Started		0	0	0	0	0	0
Operational	Safer Recruitment - Excluding Schools	4	Not Started		0	0	0	0	0	0
ICT	Hardware Asset Management	4	Not Started		0	0	0	0	0	0
ICT	ISO27001 Implementation	4	Not Started		0	0	0	0	0	0